

NAVAL Postgraduate School

Technology Trust: The impact of trust metrics on the adoption of autonomous systems used in high risk applications

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Excellence Through Knowledge



"We had better be quite sure that the purpose put into the machine is the purpose which we desire" - Norbert Wiener 1960

- This research focuses on identifying factors and attributes that contribute to trust in technology used in high-threat military scenarios
- The main research hypothesis is that a trust score can influence the initial formation of trust in autonomous systems by functioning as a surrogate for experiencebased trust



"Technology trust is a psychological state where a prediction about the use of technology entails risk, and is based on expectations of a positive outcome"

- *Risk* is defined as a scenario where misplaced trust may lead to physical harm
- Trust Factors are anything that can influence or contribute to trust/distrust in a technology (ex. operating conditions)
- Trust Attributes are inherent characteristics of a technology that contribute to trust/distrust (ex. system speed, weight)





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- Leveraging an ongoing USMC Rapid Capability Office effort to assess COTS systems for use by the Explosive Ordnance Disposal (EOD) community
- Operational testing through MCOTEA
- Results used to inform a Capability Development Document at USMC CD&I



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- The adoption of new technologies by EOD requires high levels of trust
- A lack of trust may decrease the use of technology capable of improving operational performance
- Over-trust in technology may increase the exposure to risk





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- To improve the EOD technicians ability to establish initial trust in technology
- To identify the factors and attributes that contribute to trust or distrust in technology used by EOD technicians
- To research methods for capturing experience-based trust in EOD technology



- Initial interviews conducted with EOD technicians reveal multiple themes associated with the use of technology in high risk scenarios
 - 1. Hands-on experience with technology is critical for establishing trust
 - 2. Team-based reputation for a technology is as important as personal experience
 - 3. Users favor simple technology containing only the features needed to accomplish a mission
 - 4. Users reject new technology in favor of older and more trusted systems



- What factors and attributes influence the development of trust in autonomous systems relevant to EOD?
- Can a surrogate (proxy) for experience based trust influence the establishment of initial trust in technologies used by EOD?



Trust Literature

- > Trust Theory (Castelfranci & Falcone)
- > Technology acceptance model (Fred Davis)
- Lazy user theory (Tetard & Collan)
- > Anthropomorphism (Waytz)
- > Relevant disciplines researching technology trust:
 - o Human-Computer Interaction (HCI) McKnight, Michigan State University
 - Human-Robot Interaction (HRI) Hancock, University of Central Florida
- There is a lack of available data on trust in technology used in high-threat military scenarios





How do we develop trust?

- Based on interpersonal trust research
- Obtained through both
 - o Reason-Based Trust (Rationalism)
 - Experience-Based Trust (Empiricism)





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Trust Proxy

- Combines trust knowledge and formation
- Hypothesis : An experience-based trust proxy will influence the tendency to trust or distrust
- Addresses exploratory research themes 1&2





- Technology Acceptance Model (TAM)
- Lazy User Theory (LUT)
- Hypothesis: Category based anthropomorphism will influence both LUT and TAM
- Addresses exploratory research themes 3&4





Anthropomorphism

- Identified as a relevant trust factor
- Existing research based on appearance-based anthropomorphism (making technology look human)
- This work investigates the influence of category-based anthropomorphism (link technology to human attributes)
- HAL Categories: Hardware / Algorithms / Links







- Experiment 1 Questionnaire to investigate influence of anthropomorphic HAL categories
- Experiment 2 Field experiment to provide external validity of experiment 1 findings and establish trust proxy
- Experiment 3 Questionnaire to investigate the influence of a trust proxy on acceptance of autonomous systems





2 x 3 factorial design

- IV1 : Systems (repeated-measure) Autonomous vehicle, Remote controlled, Tethered
- IV2 : Anthropomorphic Presentation (between-groups) introduce technology through anthropomorphic HAL categories or uncategorized data.

		SYSTEMS				
		Tethered	Remote	Autonomous		
ANTHROPOMORPHIC CATEGORY SYSTEM PRESENTATION	NO	TAM1	TAM2	ТАМЗ		
	YES	ТАМЗ	TAM4	TAM5		



Experiment 1

- Conducted prior to field experiment
- Questionnaire measures reason-based trust
- Questionnaire Section 1 : Measures the perceived importance of system attributes
- Questionnaire Section 2 : Measures the technology acceptance of systems with varying levels of autonomy
- Hypothesis: HAL categories will influence trust in autonomous systems





- Conducted following Field Experiment
- Questionnaire captures experience-based trust needed to establish a Trust Proxy
- Hypothesis: A causal relationship exists between system factors & attributes and technology trust



Example Trust Proxies









Angie's list

WWW.NPS.EDU



- Category-based anthropomorphic trust proxy
 Hardware / Algorithms / Links
- Scored similar to USMC physical fitness test
- Formulated similar to FICO score (odds-based prediction of risk)

PFT SCORE		HAL SCORE		
Pullups	0-100	HARDWARE (<i>body</i>)	Attribute (a) Attribute (b) Attribute (c)	0-100
Sit-ups	0-100	ALGORITHMS (thoughts)	Attribute (a) Attribute (b) Attribute (c)	0-100
3-mile Run	0-100	LINKS (senses)	Attribute (a) Attribute (b) Attribute (c)	0-100
TOTAL	0-300		TOTAL	0-300



- Controlled experiment to investigate the influence of hypothetical HAL trust scores on the adoption of autonomous systems
- Hypothesis: The HAL score will influence initial trust in autonomous systems







- The main research hypothesis is that a trust score can influence the initial formation of trust in autonomous systems by functioning as a surrogate for experience-based trust
- Experiment 1 questionnaire already conducted with 1MEF EOD
- Experiment 2 scheduled for field testing in late FY19
- Experiment 3 is under development