# **Case Studies on Technology Adoption** in Navy Energy and Environmental **Technology Projects**



NAVAL POSTGRADUATE SCHOOL

## Abstract

- *Purpose:* to create and analyze case studies on Navy energy and environmental technology transition program (TTP) projects
- *Approach*: couples research on innovation with interviews of S&T professionals to identify common themes in the adoption of technologies on naval installations. Also, investigates how the Navy's Adoption Readiness Levels may improve technology adoption
- *Goal*: to aid decision-makers to more effectively facilitate adoption of energy and environmental technologies on naval installations

ARI		Component Technology TRL	Systems-Level Technology Integration	Stakeholders	Processes
1	Application Identified	5	Potential to satisfy an exist- ing or anticipated need more effectively than alternatives.	N/A	N/A
2	Demonstration Planning	5	Research plan developed, necessary facilities identi- fied.	Stakeholders identified. Need verified.	Funding budgeted for demonstration phase. Approvals required for demon- stration identified.
3	Representative Prototype	6	Demonstrated at represen- tative research site. Perfor- mance documented.	Pilot performance vali- dated by stakeholders.	Technical approvals required for opera- tional use identified and documented. Testing or modification requirements documented.
4	Representative Demonstration	7	O&S requirements and any training requirements for O&S documented.	O&S funding levels and personnel requirements for sustainable support in operation estimated.	Process for getting technical approvals for operational use has been docu- mented.
5	Fully Adoptable	8	Operating at representative research site or operational site for relevant time period. Performance requirements satisfied and documented.	Validated and accepted by stakeholders, including budget for procurement and ongoing O&S.	All required technical approvals have been received. Any required updates to Unified Facilities Criteria or Guide Speci- fications have been made or in process of being updated.
6	Adopted	8	In operational use at mul- tiple installations.	Training and communica- tion programs in place.	Technology installed and in operational use.

#### Navy Adoption Readiness Level (ARL) Framework

(Source: Regnier, E., Barron, R. W., Nussbaum, D. A., & Macias, K. (2017, January-February). Stakeholder and Process Alignment in Navy Installation Technology Transitions. Defense AT&L, 9-12.)

# **Methods**

Researched multiple innovation theories/frameworks:

- Rogers' Five Factors
- Energy Cultures Framework
- Garbage Can Model
- Affect of Professions on Communities of Practice
- Navy Adoption Readiness Levels (ARLs)

Compiled five project case studies:

- NoFoam System for Automotive Fire Apparatus (NESDI/ESTCP)
- NoFoam System for Aircraft Hangar Fire Suppression (NESDI/ESTCP)
- Zero VOC, Coal-tar Free Splash Zone Coating (SBIR/ESTCP)
- Magnetic Bearing Chiller Compressor (TECHVAL)
- SPIDERS (JCTD)

### Results

Research Questions: (Analysis not yet complete)

- What does "successful technology adoption" mean to S&T professionals?
- What factors contribute to successful adoption of technologies?
- What barriers have prevented the adoption of technologies in TTPs?
- How might ARLs aid or improve technology adoption at naval installations?



NoFoam System Nozzle Discharge Check at NAS Lemoore, CA (Source: Kudo, R. T. (2010). NoFoam System for Automotive Fire Apparatus Vehicle Foam Discharge Checks. Port Hueneme, CA: NAVFAC)



NoFoam System Nozzle Discharge Check at Arizona ANG, Tucson, AZ (Source: Kudo, R. T. (2011). NoFoam System Technology For Aircraft Hangar Fire Suppression Foam System -Final Report. Port Hueneme, CA: NAVFAC)



Zero VOC, Coal-tar Free Coating Application at NAS Pensacola, FL (Source: Gaughen, C. D., Pendleton, D. E., & Zarate, D. A. (2010). Zero VOC, Coal Tar Free Splash Zone Coating (SZC) - Final Report. Port Hueneme, CA: NAVFAC)



Magnetic Bearing Chiller Compressor Installation at NRSW San Diego, CA (Source: Kistler, P., Personal Email (2018), NAVFAC)

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