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Shifting Left: Opportunities to Reduce Defense Acquisition Cycle Time by Fully Integrating Test and Evaluation in Model Based Systems Engineering

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Abstract

The reduction in cycle time for acquisition programs, or "Shift Left" is important to realizing the benefits of digital engineering (DE), as specifically addressed in the DOT&E Strategy update in 2022. Although DE has long held the promise of making programs faster, and achieving goals and priorities more efficiently, its effect on reduced acquisition cycle time is still difficult to identify and quantify. Furthermore, problem discovery during testing and evaluation (T&E) has been identified as a critical driver in the time it takes to develop systems and is said to have significant impact on the acquisition cycle time. Hence, a reduction in acquisition cycle time can be achieved through a systemic approach that positively impacts the time required to test systems while maintaining or reducing risk. Therefore, expanding the use of DE and model-based systems engineering (MBSE) to include test capability models creates the opportunity to improve testing and development of defense systems as well as reduce the defense acquisition life cycle time. To this end, this paper will present the quantitative results of a project that expands the use of MBSE within the test and evaluation space through the creation of a model-based test integration prototype. The results will show where and how test modeling can be used to impact acquisition decision making and reduce overall program schedule.

Keywords: Digital Engineering, MBSE, Test planning, Shift left



Introduction

The transformation from the historical, document-based acquisition system to digital engineering (DE) is resulting in some of the most significant changes to the way the DoD has engineered and developed weapon systems in decades. The shift to the use of DE will not only impact the DoD but the entire military-industrial complex. Coined by President Eisenhower in a 1961 address to the American people, the "military-industrial complex" includes the contractors that develop and manufacture our nation's combat systems (History.com Editors, 2009).

In some ways, the transition to DE is the DoD's reaction to the larger endeavor in the engineering community to reduce development time and cost by using digital data management technologies across development and manufacturing enterprises. In the DoD's "Digital Engineering Strategy," the DoD states that "current acquisition processes and engineering methods hinder meeting the demands of exponential technology growth, complexity, and access to information" (DoD, 2018). DoD leadership believes that DE will enable the DoD to meet the current and upcoming challenges to delivering new capabilities to the warfighters in support of the DoD's numerous complex missions. To accomplish this, it is crucial to have a realistic DE strategy in place that can be implemented with new DE technologies while maintaining compliance with current acquisition policy and best practices.

In balancing these constraints against the opportunities of DE, several key goals and needs of the DoD must be considered. First the goal of the department acquisition activities is to deliver to the warfighters the best possible systems in a timely, cost-effective manner in order to maximize lethality and survivability. Second the different acquisition activities need to use and create data, information, and knowledge in a manner that improves critical processes already in the acquisition system and allows programs to be managed based on their risk profile and their impact to the existing and future operational use in concert with other operational systems and in the presence of future threats. In order to maximize the positive impact of DE and modeling we need to implement DE in a manner that specifically addresses speed, risk, and quality of decision making, across portfolios, in a manner responsive to relevant missions.

Background

The adoption of additional technologies or methodologies is often accompanied by a myriad of questions regarding the scope of adoption or degree of utilization of the introduced concept. Digital engineering is no different. Many of the original implementations of DE, and more specifically model-based systems engineering (MBSE), have focused on the development of models of requirements and the design of systems to meet these requirements. In many instances they lack a meaningful set of modes of the test process. This is problematic for a number of different reasons. First, because test and evaluation (T&E) are critical parts of the development life cycle accelerating the development of systems (a key goal for the DoD) cannot be properly addressed without detailed modeling of the T&E process. Additionally, the information and data that is collected during different parts of the T&E process (including developmental and operational test) is critical to making good decision about every aspect of a given program. In the *DOT&E Strategy Update 2022*, Nickolas Guertin, the Director of DoD Operational Test and Evaluation states the second strategy pillar of DOT&E strategy is "acceleration the delivery of weapons that work" and he points out that MBSE is needed to achieve this shift-left (DOT&E, 2022).

At the beginning of the development process essential mission requirements are identified as Key Performance Parameters (KPPs), key acceptance criteria, or Measures of



Effectiveness (MOEs), organizations typically use one or more of these terms for their essential mission requirements. All key stakeholders must agree to these KPPs or MOEs early in the life of the project, because these are the select few, critical, and non-negotiable criteria that the solution must satisfy to be acceptable. They represent the absolutely critical subset of measurable and observable capabilities and characteristics that the solution must meet. Developing KPPs, MOEs, or key acceptance criteria is a joint effort between the systems engineers and the key stakeholders. The DoD defines KPPs in the initial capabilities document and validates them in the capability description document. Defining KPPs often takes the collaboration of multiple stakeholders, but it is critical to providing focus and emphasis on complex multi-gency development programs.

Modeling of the testing capability, in this case the test ranges, is a key part of integrated program modeling. In modeling the system, the KPPs and the MOE define the test cases needed for the testing program. In order to demonstrate the modeling, we developed models for the test cases and for the testing capabilities of a generic electronic warfare system. The testing capabilities are modeled in the form of a test range model. For this project we developed a partial model of the Eglin range. The range model was used to capture specific capabilities of the range to be used in constructing the test use cases. The test range models the requirements, system design, and test cases. A risk model is then linked to the other models. In this way the risk model gets data from the other models, and can be used to aggregate the risks based on differences between the available test resources and the requirements for those resources in test execution.



Figure 1. Life Cycle Modeling Structure

In addition to the need for greater use of modeling in T&E and the linking of these models to the larger set of requirements and systems models, there is a great need to look at the way risk is modeled as a function of the models that exist in DE processes. Risk in this context is inherently a function of different aspects of the program's ability to create and deliver a useful product to the field. Traditionally risk functions have focused only on the risk to the program of developing the end item product. The traditional risk approach also developed risks based on specific design risks. This approach has several shortcomings. First, this approach does not guarantee a comprehensive coverage of all possible risks. Second, the traditional approach has no specific way of addressing risk created in the testing program independent of the acquisition risk of different parts of the system. Third, the traditional approach to risk does not have a direct means of aggregating risk from the system's operational mission or operational environments. As a result, these three specific areas form the requirements set for the development of a new risk approach.



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- 1. Develop a risk function that is comprehensive across all areas of the program.
- 2. It is critical that the risk function capture risks that are inherent to the testing of systems.
- 3. A risk function needs the ability to aggregate risk across different aspects of the program, specifically aggregate risks across mission areas and operational environments.

The development of more robust T&E and risk modeling generate the data, viability and insights needed to make decisions to accelerate acquisition programs.

Shifting Left: Applying an MBSE Approach to Test Planning, System Testing, and Evaluation

The power of system modeling to address the challenge of accelerating the acquisition process and reduce cycle time can be best demonstrated by a specific use case. In this use case we developed a representative set of models that captures requirements, system architecture, test range architecture, test cases, and risk functions. The use case shown in this section will demonstrate how test organizations can integrate and link together requirements models, system architecture/design models, test ranges, and system under test (SUT) models in order to reduce the time it takes to test systems while maintaining or reducing risk. In order to develop the system and test models in a form that would serve as a good example for future acquisition programs as well as to prototype the process of developing and linking the models, a simplified version (notional) of a real electric warfare (EW) system, the AN/ALQ-161A (Angry Kitten) electronic countermeasures system design for the B-1B bomber aircraft was chosen. The integrated model is composed of several independent models holding requirements, system architectures and test artifacts in self-contained modules that can be updated and augmented independently when new data is available. The EW use case was selected because of our ability to abstract it to more general defense acquisition and because there are several well understood missions that can demonstrate different risk profiles.

Specifying Requirements Modeling for Test

Requirements engineering is a vital part of the (model-based) systems engineering (SE) process because it defines the problem scope and links all subsequent system development, system testing, and risk analysis information to it (Dick, 2017). A set of exemplar requirements that capture the requirements of the system to be developed and tested, requirements of the test range(s) required for performing system tests, and testing requirements are captured in a requirements model and are further refined in the system architecture and test range infrastructure models. Highlighted in this section are the requirements sets for a specific capability of an EW electronic countermeasures system, the requirements sets for a test range that would be used to test the EW system capability, and the testing requirements. Requirements engineering constitutes the branch of SE that bridges the gap between the informal world of stakeholder needs—which in this context is representative of the test community and program office—and the formal world of a reduced cycle time for defense acquisition.

Specify the System of Interest (Sol) Requirements. The desired mission capabilities of the EW countermeasures system are first specified and modeled as system requirements. The system-level requirements describe the functions and quality attributes (nonfunctional requirements) the system must fulfill in order to satisfy the program office's needs. Functionally, the EW system is expected to operate optimally within several operational



environments based on specific user-defined missions. A couple of operational environment requirements levied on the EW system are shown in Figure 2. For this exemplar model, the main mission capability expected of the EW countermeasures system is the ability to *provide situational awareness* during missions.

As highlighted in Figure 3, the high-level requirement *EW Situational Awareness* is decomposed into two main requirements: *EW Operationally Effective* and *EW Situationally Effective*, which are further decomposed into atomic requirements that can be tested. Also captured in the requirements diagram are *Derived Requirements*—*Computed Correct ID Performance*, *Computed Incorrect ID Performance*, and *Computed Missed ID Performance* which are derived from the *Computed Identification (ID) Performance Requirement*. These mission requirements represent Key Performance Parameters (KPPs) or Measures of Effectiveness (MOEs) that are critical for the success of the mission and which a test range will need to have the capability to test.

#	△ Name	Text
1	G2 Operational Environment Requirements	
2	62.1 Operate in Contested Environment	The EW system shall accurately detect, track and jam, active threat radars in a contented environment where threat systems are actively trying to defeat the EW system and degrade its capabilities.
3	R 62.2 Operate in Congested Environment	The EW system shall accurately detect, tract, and jam, active threat radars in a congested environment where are large numbers of non-threat systems active in the environment adding to the electromagnetic noise environment.
4	62.3 Operate in Constrained Environment	The EW system shall accurately detect, tract, and jam, active threat radars in a constrained environment, where the system will need to operate are reduces power and not emit in all frequency bands.
5	R 62.4 False Response Rate	The EW system will minimize false response rates, the false response rate for the system will be less that 0.1% across the operating range of the system.
6	R 62.5 False Alarm Rate	The False alarm rate for the EW system will be not greater than 0.02%, across the entire operating range of the system.
7	62.10 Mode 2 Detection Sensitivity	The system will have a detection rate in mode 2 shall be not less than 90%.
8	Passive Detect Signal R 62.11 Detection Sensitivity - Omni	The Passive detect signal detection sensitivity for Omni will be not less than 90%.
9	Passive Detect Signal 62.12 Detection Sensitivity - ESA	The Passive detect signal detection sensitivity for ESA will be not less than 95%.

Figure 2. Operational Environment Requirements View



Figure 3. EW System SA Requirements

Specify Test Range Requirements Views. For the purpose of this exemplar, the requirements captured as Test Range Requirements are limited to a specific test range capability as shown in Figure 4. To leverage MBSE as a means of positively impacting the time it takes to test systems, development of test range models is vital. Therefore, test range capability requirements are captured within the requirement model which enables traceability to both the test range infrastructure and the Sol requirements. For example, the *Probability of Target Identification Test Range Requirement* specifies that the test range of interest



ACQUISITION RESEARCH PROGRAM DEPARTMENT OF DEFENSE MANAGEMENT NAVAL POSTGRADUATE SCHOOL must be capable of testing whether an EW system correctly identifies target/threat systems with a confidence greater than or equal to 90%. The benefit of specifying test range requirements in model form is that it allows for a real-time gap and impact analysis of a test range's capability to test certain system capabilities and enables better test planning by organizations. Having such information readily available can help reduce the time needed to identify the appropriate test ranges needed to test specific systems/system capabilities.



Figure 4. Notional Test Range Requirements View

Define Test Requirements and Test Objectives. Also captured as part of the requirements model are testing requirements and test objectives as shown in Figure 5 and Figure 6. Testing requirements also called critical operational issues (COI) outline the issues that are examined during testing and evaluation to determine the system's capability to perform the mission. An example of a testing requirement for the notional EW system is stated as *"Does the EW system provide effective situational awareness to the aircrew?"* It follows then that COIs represent the requirements by which the suitability of the system under test (SUT) will be assessed from a mission perspective. Capturing Test Objectives in a modelbased format facilitate tracing of test objectives to system models and test range infrastructure, enabling test personnel to make key decisions in a timely manner.



Figure 5. EW System Testing Requirement View





Figure 6. EW System Test Objectives View

EW Countermeasures System Architecture Definition

The approach taken in the development of the EW countermeasures notional architecture was to first define the conceptual or black box architectural view of the system which entailed defining the EW system as a black box, and capturing its operational domain. This approach enabled the identification of external interfaces and specification of high level (black box) test cases. Once the conceptual view of the system had been defined, the next step taken was to develop the logical or white box architectural view of the system. This section highlights some of the architectural views created as part of the system architecture definition. The views which comprise both behavioral and structural depictions of the system architecture facilitate the development of test cases for the EW countermeasures system. In order to simplify the modeling and make the process more generalizable for multiple programs, the decision was made to use only unclassified information.

Identify SOI Capabilities and Specify Conceptual Architecture. Two main capabilities of an EW countermeasures system include its ability to provide situational awareness to the pilot and execute self-protection. The situational awareness capability is the focus for this exemplar model, and hence, most architectural views and artifacts presented here are skewed to this capability with all other aspects either abstracted out or simplified. Figure 7 depicts the Perform RF Source ECM capability as a use case of the RF Electronic Countermeasures System. The combined behavior of the use cases, provide situational awareness and execute self-protection, represent the overall behavior of the Perform RF Source ECM capability. Identified primary actors include the pilot and aircraft while secondary actors (systems) have been identified as EW Threats and Enemy EW Systems. A significant benefit of an MBSE approach is the ability to determine very early in the system acquisition cycle which test resources are required to test a certain system/capability. In the case of the EW countermeasures system, it is apparent that in order to perform a live test of the provide situational awareness capability, the test range used should have the capabilities that represent an Enemy EW system. The high-level perform RF Source ECM scenario view depicted in Figure 8 highlights the interactions between the Sol and external systems (i.e., enemy threat and radar systems) within its operational domain, while Figure 9 highlights a structural view of the EW countermeasures system domain. Also specified at this level are MOEs of the Sol.









Figure 8. Perform RF Source ECM Scenario View



Figure 9. Electronic Countermeasures System Domain View

Develop Logical Architecture Views. The process of developing the logical architecture for the EW system begins with defining the functional/behavior architectural view, identifying logical subsystems, developing a configuration view, and finally allocating the functions (actions) to logical/structural subsystems. Portrayed in Figures 10 and 11 are the logical configuration view portraying the interconnections between subsystems and structural decomposition (hierarchy) view of the exemplar EW countermeasures system.



Additionally, the logical architecture view shown in Figure 12 portrays the allocation of system functions to logical subsystems using swimlanes. The EW system's functional hierarchy / decomposition view is shown in Figure 13.



Figure 10. EW System Logical Configuration View



Figure 11. EW System Structural Decomposition View



Figure 12. EW System Logical Architecture View





Figure 13. EW System Functional Decomposition View

Furthermore, shown in Figures 14 and 15 are simplifications of the behavior of two EW system functions: *Identify Emitter Location* and *Compute Number of Detected Threats*. They represent key functionality of the EW system needed to *provide situational awareness* to the pilot and other subsystems onboard the aircraft. Identification and modeling of these system capabilities inform the program office and test planners during the early phases of system development of tests that would need to be performed on the system and can enable early testing via simulation of the system model before it is actually built. This approach greatly limits the tendency to develop systems that do not satisfy stated requirements, thereby reducing the overall acquisition cycle time in the event that design rework is required.



Figure 14. Identify Emitter Behavior View





Figure 15. Compute No. of Detected Threats Behavior View



Figure 16. EW System Behavior View



Figure 17. Angle of Arrival Parametric View

In addition, developed within the system architecture model are the views highlighted in Figure 16 which portrays the system behavior using a *state machine* and a system performance analysis view created to compute the angle of arrival (AOA) which is shown in Figure 17. The AOA is a system property that was specified as a measure of effectiveness in the *conceptual* architecture. Development of these system views are critical since they are used during model-based testing activities.



Curate Key Traceability Views. During the model-based development of the EW countermeasures system architecture, several model views and artifacts are created which describe the system from multiple perspectives such as structural, behavioral, interfaces, data, etc., and varying levels of fidelity. These views explicitly portray existing relationships between model elements, however, there also exist implicit relationships between some model elements that are not captured in these model views but which are crucial to understanding the system. Identifying and capturing these implicit relationships enable the performance of impact analysis, regression analysis, and promote the understanding of how these relationships impact system behavior and by extension test results. As reported by Konigs et al., "traceability allows changes to be propagated efficiently while implications can be detected easily based on relations between multiple artifacts" (2012). Highlighted in Table 1 are traceability views which portray existing explicit/implicit relationships between model data.

#	Name	Owned Assembly	Function List	Subsystem Interface
Г		Display Processor	Read Control Input	in PS : ~Electrical Power
		Software	🔁 Generate Display	inout Dsiplay : Display Data
	Sub Display and Controls Outputter	Display	Publish RF Situation	
Ľ	Display and Controls Subsystem	Warning/Advisory/Threat Panel	B Generate Countermeasures Control In	
L			Power On	
			Forward Threat Data(context Display a	
Г		Digital Countermeasures Receive	Bearch for RF Signal(context Radar W	in PS : ~Electrical Power
L		RWR Receiver Assembly	CACquire Target RF Signal	EW Bus
L		Countermeasures Signal Process	🔁 Characterize Detected Signal] <u>—</u> ви
L		Enhanced Antenna Detector	Process Detected Signal	
2	Radar Warning Receiver Subsystem	Sub Integrated Antenna Subsystem	1 Identify Emitter Location	out AV Bus : RWR - AVBus Data
L		Operational Flight Programme So	Assign Sensor Asset to Threat	
L			E Fuse Data from Sensors	
L			Compute Number of Detected Threats	
			Power On	
L		Preprocessor Subsystem	Perform Self Protection	EW Bus
L		Sub Transmitter Subsystem	Send Status of Jammed Threats to RW) — ви
L		Techniques Generator Subsystem	Compute Number of Jammed Threats	in PS : ~Electrical Power
3	Sub Jammer Subsystem	Jammer Receiver Assembly	B Manage Jamming Power(context Jamm	inout AV Bus : ~Jammer - AVBus
		Sub Preamplifier	Jam Threat Receiver(context Jammer S	
		RF Tunable Filter	Provide Electronic Attack Measures(co	
		Signal Conditioning Assembly	Power On	

Table 1. EW System Traceability View Mapping Structure to Function

Develop Test Range Capability Architecture

A test capability model can be described as a model-based representation of all test resources required to enable the testing of a given set of systems/capabilities. The test range infrastructure is a key part of the model-based integrated test prototype and consists of the testing capabilities required to test an EW countermeasures system. In this section, aspects of a notional test range model based on the Eglin test range (Eglin Customer Guide, 2021) will be presented. The test range model captures the system capabilities the test range is capable of testing, test range test resources, its structural composition, and test operational environments. Development of the test range model begins with the identification and definition of the capabilities of the test range.

Identify Test Range Capabilities. The first step taken in the development of the model-based test range was to identify the test range capabilities. Test range capabilities in this work refer to the types/kinds/categories/forms of tests a test range is capable of executing. The Eglin Test and Training Complex (ETTC) has a total of 45 test capabilities (Eglin Customer Guide, 2021), some of which are shown in Figure 18. Specifically, the test capabilities of interest for this model prototype shown in the use case diagram highlighted in Figure 19 is the *Perform EW Countermeasures Test* capability and the *Perform RF Source*



Countermeasures Test. Once capabilities have been identified and defined as part of the test range model, next steps entail the development of the test range infrastructure's architecture.



Figure 18. List of Eglin Test Center Test Capabilities



Figure 19. Perform EW Countermeasures Test

Specify Test Range Infrastructure. The test range model infrastructure shown in Figure 20 specifies specific aspects of a test range required for performing an EW Countermeasures Test. Range infrastructure include *Test Instrumentation, EW Threat Systems, EW Non-Threat Systems,* and *Air Threat Defense Systems*. Additionally, the EW threat systems view portrayed in Figure 21 highlights several types of radar threat systems that form part of the test environment configuration while test resources captured in Figure 22 are also used as part of the test configuration. The capture of these test range resources and their properties within the test range model enables the construction of holistic and integrated test case configurations.



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Figure 20. Notional Test Range Infrastructure View



Figure 21. Test Range Threat Radar Systems View



Figure 22. Test Range Test Resources View



Consequently, the capture and definition of test range artifacts in model form plays a crucial role in *test planning* by providing information on available test range resources, in *testing* by enabling model execution of test cases early in the system development life cycle, and in identifying *test risks* relating to test range resource availability.

Test Range Operational Environment Definition. A very important aspect of test range model definition involves specifying the various operational environments required for performing specific types of EW system tests. Shown in Figure 23 is a high-level structural view of the Test Range Operational Environment. As shown, the test range operational environment is grouped into two main categories: *Test Range Electromagnetic Operational Environment* (EMOE) and *Test Range Geophysical Environment*. Of importance for this exemplar however, are the EW countermeasures system operational environment types respectively. Defining these environments as part of the test range test capability are necessary to enable testing of the EW system to verify that the EW system requirements can be satisfied as well as enable the mapping of risk to the specific system operational environments.



Figure 23. High-Level Test Range Operational Environment View

Test Range Infrastructure Traceability. Creating traceability views of test range resources such as the test instrumentation infrastructure, threat systems, and air defense systems serve as crucial model data views which enable test planning and testing activities using the *model-based test-integrated system* prototype. Figure 24 highlights several explicitly and implicitly identified relationships among test range infrastructure artifacts.





Figure 24. Traceability View of Test Range Threat Radar Systems

System Under Test (SUT) and Test Case Modeling

A key aspect of the *model-based test-integrated system* prototype is the development and modeling of test cases and test case configurations for the system under test (SUT). In order to perform model-based testing within the MBSE environment, test cases and the test scenario configuration need to be defined for the SUT. In the context of this work, the test configuration describes the testing context for the SUT and comprises the SUT, test resources, test personnel, test case, and the system requirements that need to be satisfied. The test community and program offices can use this model-based test configuration to inform decision making regarding availability of test range resources and the system requirements that need to be satisfied by the SUT per (mission) test case. The test configuration pattern shown in Figure 25 is an abstract representation of a test context and depicts the components required for test case execution and the relationships between them.





Figure 25. Model-Based Test Configuration Pattern

Execute Test Case Model and Capture Results. The model view portrayed in Figure 26 is an example of an implementation of the test configuration pattern shown in Figure 25. The model view shown represents the test context for the EW countermeasures system *Angle of Arrival Test Case*. It can be noted that the operational environment in which the system is being tested is designated as a specific contested operational environment. Test range resources listed as part of the contested environment include multiple threat radar systems, telemetry, and RF signature measurement instrumentation. Also captured as test case artifact. Results gotten from the execution of the AOA test case context are captured in the test instance specification table shown in Table. 2.

The SUT requirements traceability view shown in Figure 27, highlighting implicit relationships that may exist between the artifacts of the integrated test model prototype. Moreover, such traceability views allow planned or unplanned change implications to be quantified and assessed.



Figure 26. EW System Angle of Arrival Accuracy Testing Context



#	Name	system Under V Test.RMSAngularAcc : Real	testResult : VerdictKind	deficiencyResponse DeficiencyResponsek	v v testRiskTypel : RiskKind	testRiskTypeII : RiskKind
1	angle of Arrival Accuracy Test Configuration Context at 2022.10.25 23.13	0.3994	fail	Mandatory M&S	Medium	Low
2	angle of Arrival Accuracy Test Configuration Context at 2022.10.25 23.12	0.9851	pass	Mandatory M&S	Low	Medium
3	angle of Arrival Accuracy Test Configuration Context at 2022.10.26 10.38	0.294	fail	Mandatory M&S	Low	Low
4	angle of Arrival Accuracy Test Configuration Context at 2023.02.22 16.03	0.4251	fail	Mandatory M&S	Low	Low
5	angle of Arrival Accuracy Test Configuration Context at 2023.02.23 09.19	0.6842	pass	Mandatory M&S	Low	Low
6	angle of Arrival Accuracy Test Configuration Context at 2023.02.23 09.21	0.9851	pass	Mandatory M&S	Low	High

Table 2. Angle of Arrival Accuracy Test Execution Results for the EW System



Figure 27. Test-Related Artifacts Traceability View

A key benefit of the model-based test integrated system prototype concept is that it enables the execution and analysis of multiple user defined missions and operational environment test configurations in a minimum amount of time. As a consequence, this testing prototype provides quantifiable value to program offices and the test community through its ability to impact the defense acquisition cycle time positively by enabling program offices to make informed decisions regarding system tests and associated risk in a timely manner.

Development and Modeling of the Risk Function

Program risk in the context of this project is inherently a function of different aspects of the program's ability to create and deliver a useful product to the field. Traditionally risk functions have focused only on the risk to the program of developing the end item product. The traditional risk approach also developed risks based on specific design risks. This approach has several short comings. First, this approach does not guarantee a comprehensive coverage of all possible risks. Second, the traditional approach has no specific way of addressing risk created in the testing program independent of the acquisition risk of different parts of the system. Third, the traditional approach to risk does not have a direct means of aggregating risk from the system's operational mission or operational environments. As a result, these three specific areas form the requirements set for the development of a new risk approach.

- 1. Develop a risk function that is comprehensive across all areas of the program.
- 2. It is critical that the risk function capture risks that are inherent to the testing of systems.



3. A risk function needs the ability to aggregate risk across different aspects of the program, specifically aggregate risks across mission areas and operation environments.

Additionally, the risk function must be compatible with the system modeling functions.

Elements of the Risk Function

In developing the comprehensive risk function, it was determined assessing risk on each requirement represented a means of assessing risk on all parts of the system in a comprehensive way. Undoubtedly, linking risk to the system requirements addressed several different issues. First, the requirements are modeled as a part of the MBSE process and are therefore part of the integrated engineering model of the system. Second, by linking the risk to the requirements, it is assured that the evaluation of all possible risks in the system is done in a comprehensive way. The requirements, if specified correctly and unambiguously, describe all the different aspects of the system and its operations including its different missions and operating environments.

Therefore, for a given mission and operational environment, a program's risk can be aggregated and evaluated by combining the system requirements specified for the system operations based on a given mission and operational environment. Functionally, this approach allows for different weighting of factors to the individual risks so that the overall risk profile for a given mission can reflect the different priorities of the mission. To effectively model testing risks, a test-based risk function was developed which addresses the risks inherent in the testing infrastructure's ability to completely test system requirements, and the risk of the testing infrastructure's ability to replicate future operational environments during system test. Specifically, the three risk categories defined in the test-based risk function include:

- Type 1 Test Risk: the ability to test to the requirements.
- Type 2 Test Risk: the reliability of the testing to validate the operational environment (confidence in test).
- Implementation Risk: the risk of being able to design and build the system to meet its requirements. This could be viewed as the traditional risk function (cost, schedule, and performance risks).

Use of the Risk Function to Determine a Mission-Based Risk Profile

Operational environments and missions of interest contribute to the risk of a given system not being able to perform as designed, or as needed during operations. The risk function provides the ability to roll up the risk for the different test cases. In particular, the test risks allow the program office and test community to assess whether the testing resources available at a given test range can effectively test the system requirements to the levels expected in the operational environment for different missions of interest.

Mapping of the risk function characteristics to specific operational environments and user defined missions can be accomplished in one of two ways. The first involves using the system requirements to describe a specific mission. *Given that the complete set of requirements contain all requirements necessary for a given system to perform all required missions under all specified operating conditions, it follows that a subset of the requirements can be selected which describe a specific mission and operating environment. While the second involves the use of the system's operational testing requirements. <i>In this method, the testing requirements and test cases for a specific mission are linked to the risk model to capture risks from the mission of interest.* The risks can then be aggregated to form a mission-based risk profile.



A demonstration of the mission-based risk profile developed for the EW countermeasures system and created within the *model-based test-integrated system* prototype is portrayed in Table 3, Table 4, and Table 5. In this example, the mission-based risk profile is created by mapping the set of requirements of the EW countermeasures system needed to perform a user-defined mission within specific contested, congested, and constrained environments. As shown in the congested, contested, and constrained risk function tables, values for the *Likelihood* and *Consequence* of each risk type are entered into the tables following which the value for each risk type is then automatically computed and given the necessary risk color based on the computed value.

Table 3. Contested Environment Mission-Based Risk Function for the EW Countermeasures Syste

Ris	isk Type I: 📕 High 🗌 Low 🗌 Moderate 🛛 Risk Type II: 📕 High 🗌 Low 🔚 Moderate Implementation Risk : 📕 High 🗌 Low 🗌 Moderate											
#	Id	Name	Text	∀ Ability To Test - Likelihood Likelihood	Ability To Test- Consequence	Ability To Test Risk	Confidence In Test - Consequence	Confidence In Test - Likelihood	Confidence In Test Risk	Implementation - Consequence	Implementation - Likelihood	Implementation Risk
1	153	R Target Identification	The <u>EW</u> System shall correctly identify target system not less than 95% of the time with a confidence of or greater than 90%.	5	1	5	5	1	5	1	1	1
2	151	Cluttered EMI Environment	The B-1 band 8 replacement system shall be able to meet its performance requirements in the presence of high levels of commercial EM transitions as modeled by XX simulation.	3	1	3			0			0
3	154	Number of R Discrete Radar Sources	The <u>EW</u> System shall be able to detect greater than 8 target systems at the same time.	3	3	9	1	3	3	1	1	1
4	156	R Jamming Performance	The <u>EW</u> System shall be able to meet performance requirements in the presents of jamming at the level of X.	3	5	15	1	1	1	1	1	1
5	157	Operate in Contested Environment	The EW system shall accurately detect, track and jam, active threat radars in a contented environment where threat systems are actively trying to defeat the <u>EW</u> system and degrade its capabilities.	3	3	9	5	3	15	3	3	0
6	152	Congested Civilian EMI Environment	The B-1 Band 8 replacement system shall meet all its performance requirement in the present of multiple cell phone networks (4 or more), police radios (15 or more transmitters, Civilian radar systems, (6 or more (ATC, weather, or other radars) operating in the same or adjacent frequencies as the Band 8 replacement system.	1	5	5			0			0

Table 4. Congested Environment Mission-Based Risk Function for the EW Countermeasures System

Ris	k Type I:	📕 High 📃 Low 📃 Modera	te 🛛 Risk Type II: 📕 High 📃 Low 🦳 Modera	te Implement	ation Risk: 📕	High 📃 Low 📃 M	oderate					
#	Id	Name	Text	Ability To Test - Likelihood	Ability To Test- Consequence	Ability To Test Risk	Confidence In Test - Consequence	Confidence In Test - Likelihood	△ Confidence In Test Risk	Implementation - Consequence	Implementation - Likelihood	Implementation Risk
1	140	R Jamming Performance	The <u>EW</u> System shall be able to meet performance requirements in the presents of jamming at the level of X.	3	3	9	1	1	1	1	1	1
2	141	Radar Sources	The <u>EW</u> System shall be able to detect greater than 8 target systems at the same time.	1	3	3	1	3	3	1	1	1
3	143	R Target Detection	The <u>EW</u> System shall detect surface-to-air radar targets correctly 99% of the time at- a 90% confidence.	1	1	1	3	1	3	1	1	1
4	142	R Target Identification	The <u>EW</u> System shall correctly identify target system not less than 95% of the time with a confidence of or greater than 90%.	3	5		5	1	5	1	1	1
5	144	Congested EMI Environment	The EW System shall meet all of the performance requirement in the presence of a high level of red and blue force EM system operating in close proximity of the systems location.	1	3	3	3	3	9	1	1	1

Table 5. Constrained Environment Mission-Based Risk Function for the EW Countermeasures System

F	Risk Type I: 📕 High 📃 Low 🗋 Moderate Risk Type II: 📕 High 🗋 Low 🗋 Moderate Implementation Risk: 📕 High 🛄 Low 🗋 Moderate												
	# Id	Name	Text	Ability To Test- Consequence	Ability To Test - Likelihood	Ability To Test Risk	Confidence In Test - Consequence	△ Confidence In Test - Likelihood	Confidence In Test Risk	Implementation - Consequence	Implementation - Likelihood	Implementation Risk	
	1 145	Congested R Civilian EMI Environment	The 8-1 Band 8 replacement system shall meet all its performance requirement in the present of multiple cell phone networks (4 or more), police radios (15 or more transmitters, Civilian radar systems, (6 or more (472, weather, or other radars) operating in the same or adjacent frequencies as the Band 8 replacement system.	1	1	1			0			0	
	2 146	EMI Environment - Max Power	The B-1 Band 8 replacement system shall meet all its performance requirements while operating a maximum of 50% normal output power.	3	3	9			0			0	
	3 147	R Identification	The EW System shall correctly identify target system not less than 95% of the time with a confidence of or greater than 90%.	1	3	3	5	1	5	1	1	1	
Γ	4 150	R Jamming Performance	The EW System shall be able to meet performance requirements in the presents of jamming at the level of X.	1	1	1	1	1	1	1	1	1	
	5 149	R Target Detection	The EW System shall detect surface-to-air radar targets correctly 99% of the time at- a 90% confidence.	3	1	3	3	3	9	1	1	1	
	6 148	Number of R Discrete Radar Sources	The $\underline{\mathrm{EW}}$ System shall be able to detect greater than 8 target systems at the same time.	5	3	15	3	5	15	1	1	1	



Discussion

As many parts of the DoD are moving to SysML-based MBSE and digital engineering to manage their programs, there is significant opportunity to leverage the power of these tools for test and evaluation. The test program for any DoD system is vital to ensure the future performance of the system. However, testing can be very costly and time consuming on projects and may not produce high confidence. Modeling will increase the program and test organizations ability to more effectively plan and manage the test program and to ensure that all data collected on systems during contract or test, developmental test, and operational test is captured and used to its best advantage. The current system level risk approach does not adequately capture test risk or how changes to the test program and the requirements will impact overall system risk. More robust risk analysis will positively impact test planning and acquisition outcomes.

This work has demonstrated that test risk can be effectively modeled within a MBSE model and directly related to requirements and the design of the system. In addition, this work has proposed a risk function that addresses the DoD's need for a risk function that can be focused on modeling directly as a function of mission profile and an operating environment. The development of integrated system modeling to include the full acquisition life cycle, particularly the testing of systems, will be a major advancement in the development of the practice of model-based systems engineering and is critical to the use of MBSE in the acquisition community going forward. Results of this work demonstrate the ability to directly link the program requirements and design directly to the ability to test and test planning and develop risk functions dependent on both the system and the ability to effectively test the system.

In order to get the maximum benefits for the use of MBSE in the development of systems for the DoD we investigated the creation of an advanced risk function to include traditional risk functions (cost, schedule and performance, likelihood, and consequence) as well as linking risk to testing and requirements. In addition, the model-based risk functions were designed as a function of requirements in order to allow for defining specific missions (based on a set of requirements) and looking at the risks as a function of the mission and operation profile (environment and threats) for that defined mission.

Conclusion

The model-based test risk function is a new development that will give the program offices and test organizations better visibility into the critical aspects of program performance during the development and testing life cycle of the program. By expanding the use of model-based systems engineering and digital engineering to include more of the program life cycle, the DoD can gain better visibility into the management of these programs. The use of these digital models also provides the means necessary to better look across portfolios of developmental programs and existing systems for portfolio management, mission and threat analysis, and long-term campaign planning.

The Expansion of MBSE and DE in DoD acquisition to fully include the different aspects of T&E and risk management creates several significant advantages in managing programs and portfolios. Greater knowledge of risk and the data needed to inform decision making all along the acquisition life cycle will allow for the acceleration of DoD programs in a manner consistent with reasonable risk taking and data driven decision making that will result in more rapid fielding the highly capable systems.



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