

Implementation of a Methodology Supporting a Comprehensive System of Systems Maturity Analysis for use by the Littoral Combat Ship Mission Modules Program

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Overview

- Unique System of Systems (SoS) Acquisition Management Needs
- LCS Mission Package Development a true SoS
- System Readiness Level (SRL) Development / Implementation
- Applications in Management Decision Making
- Technology Insertion in SoS's
- Case Study Considerations for Legacy Systems
- Future Developments Risk Monitoring
- Future Developments Cost Profiles
- Conclusion / Lessons Learned



Unique SoS Acquisition Management Needs

- SoS acquisition management represents a significant increase in complexity over traditional system acquisition
- Development requires that significant numbers of new and existing technologies be integrated to one another in a variety of ways
- Poses challenges to traditional development monitoring tools and cost models due to the need to capture integration complexity and the level of effort required to connect individual components
- A high degree of inter-linkage between components can also cause unintended consequences to overall system performance as components are modified and replaced throughout the system life cycle

The result of this acquisition management paradigm shift has been significant schedule and cost overruns in SoS programs



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LCS Mission Packages... truly a SoS undertaking





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Defining Program Office Role and Needs

- PEO LMW / PMS 420 is responsible for the development and integration of a series of Mission Modules to be used on the Littoral Combat Ship
- Modules leverage considerable amounts of technology from existing programs of record while also conducting new development
- Keys aspects of the project include not only monitoring the status of technology development, but also the maturity of the numerous integrations between those technologies and external interfaces
- This has resulted in a very complex and diverse system of systems engineering activity with a need to obtain quick and accurate snapshots of development maturity status, risks, and issues



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TRL Shortcomings

- Application of TRL to systems of technologies is not sufficient to give a holistic picture of complex SoS readiness
 - TRL is only a measure of an individual technology
- Assessments of several technologies rapidly becomes very complex without a systematic method of comparison
- Multiple TRLs do not provide insight into integrations between technologies nor the maturity of the resulting system
 - Yet most complex systems fail at the integration points

Individual Technology



Can TRL be applied? YES

System of Technologies

Can TRL be applied?



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Methodology Development Overview

GOAL: Institute a robust, repeatable, and agile method to monitor / report system development and integration status



Create a System Readiness Level (SRL) that utilizes SME / developer input on technology and integration maturity to provide an objective indication of complex system development maturity



- Provides a system-level view of development maturity with opportunities to drill down to element-level contributions
- Allows managers to evaluate system development in real-time and take proactive measures
- Highly adaptive to use on a wide array of system engineering development efforts
- Can be applied as a predictive tool for technology insertion trade studies and analysis

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SRL Methodology Decomposition for PMS 420





Include all technologies that make-up the overall system

Step 2: Define network diagram for systems



Emphasis is on the proper depiction of hardware and software integration between the components

Step 3: Define system operational threads (If applicable) Technology 1 Technology 2



Thread analysis allows for the option of weighting the most important components and evaluation of alternate operational states

Step 4: Apply detailed TRL and IRL evaluation criteria to components and integrations



Checklist style evaluation allows for the ability to "take-credit" for steps that have taken place beyond the current readiness

level

Initial Architecture Definition and Setup

Step 5: Calculate individual and composite SRLs



Input TRL and IRL evaluations into Populations into algorithm to compute an wite assessment of overall system outcompate status via SRLs statu

Step 6: Document status via rollup charts



Populate reporting chart templates with evaluation and calculation outcomes to highlight both current status and performance over time



- For complex systems, the amount of information obtained from the SRL evaluation can be overwhelming
- To maximize applicability SRL outputs are tied to key, program-specific development milestones
- Progress against these milestones provide key insight to the user regarding current program development maturity status, risk, and progress



Applications in Management Decision Making

• Current development status monitoring

- Enables monitoring of system technology maturation with all integrations considered
- Enables a prioritization of technology development maturity for each component of the system

Decision making

- Allows components identified as "lagging" to be analyzed further for root cause
- Resources can be more properly distributed to those technologies in need
- Impacts can be examined by quickly analyzing multiple "what-if" scenarios
- Allows projected maturity changes to be examined along with cost and schedule

In complex SoS efforts it is not always immediately clear where resources should be applied for maximum gains in maturity and reductions in risk



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Analyzing the Unexpected



Effectively Channeling Resources



Technology Insertion in SoS's

As with the monitoring of current status in SoS's, the process of technology identification, analysis and insertion is also made considerably more complex

Key Questions to Consider Include:

- Which of the existing components of the system should be either replaced or enhanced?
- How will the new technology be integrated into the system?
- What are the types of integration involved?
 - Logical / Data flow
 - Physical
 - Functional
 - Human-to-Machine
- What is the projected impact on performance? (How do we optimize?)
- Are there any legacy design constraints that will impact selection?



Case Study – Considerations for Legacy Systems

• Background:

 Massachusetts Bay Transit Authority needed new light rail cars to enhance handicapped access

• Legacy System Description:

- Oldest light rail system in North America with some infrastructure dating back over 100 years
- New cars would need to operate in conjunction with existing rolling stock

• Design Solution:

 Leveraged completely mature and well understood component technologies in a new design

• Outcome:

 Fielded prototype experienced four years of braking performance issues and derailments causing repeated withdrawals from service



SOURCE: Fraser, G.R., Leary, R.J., Pellegrini, M.M.C., *Integrating New Light Rail Vehicle Technology in Mature Infrastructure*, Transportation Research Circular EC-058, 9th National Light Rail Transit Conference.



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Case Study – What Went Wrong???

- Well proven technologies integrated with one another in new ways and into an existing infrastructure created unintended issues including:
 - Difficulties in matching the new car's acceleration and braking performance to existing car's capabilities due to inherent characteristics of technologies employed
 - Introduction of an "advanced" wheel design that was unable to accommodate an infrastructure that has deviated from original design specifications over years of use
- In all cases the design met requirements, but failed to adequately accommodate the constraints imposed by the overall system and environment

Performance of a technology in a stand-alone environment does not mean that the technology can be inserted at the system level without significant planning, monitoring, and assessment



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Future Developments – Understanding Tech Insertion Impact

- Insertion considerations for new components must be based not only on the projected impact on a given capability, but on all of the capabilities/missions of the SoS
 - In some instances it is conceivable that the negative impact on the overall system outweighs the gains in a single area of operation
- Various options exist for laying out SoS Mission Definitions
 - One option is using existing end-to-end reliability block diagrams developed for RMA analysis with SRL assessment inputs to increase overall understanding of decisional impacts across the system

Example-change of USV design impacts 3 mission areas and 3 interfacing sensors. Are all impacts understood?





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Trading Off Technology Insertion Options



Taking Action to Mitigate Risk

Future Developments – Cost Profiles

- PEO LMW / PMS 420 is working with NAVSEA 05C (NAVSEA's cost analysis division) to develop a life cycle cost model specifically tailored to SoS analysis
- Factors contributing to costs in SoS
 - Integration type (physical, functional, logical)
 - Use of standards (Were components designed to integrate?)
 - Maturity of technologies being integrated
- A correlation between the SRL and cost numbers may bring about the ability to track actual development maturity vs. costs
- Linkage to technology trade-off and planning environments allows cost to be analyzed in consideration with maturity and performance



Initial SRL Implementation Lessons Learned

- Methodology is highly adaptable and can be quickly applied to a wide variety of development efforts
- Programs tend to minimize the importance of system and subsystem integration and thus overestimate the maturity of their development
- Widespread familiarity with TRL makes acceptance and utilization of TRL and IRL easier
- Formulating the system architecture early in development is a key step and leads to an enhancement of the overall systems engineering effort
- System architecture formulation also provides the opportunity to bring together SMEs from both the physical and logical realms and necessitates insightful discussions across the team
- The decision maker is afforded the ability to assess program status from a system of systems perspective

The SRL methodology delivers a holistic evaluation of complex system readiness that is robust, repeatable, and agile



Conclusions

- SoS development represents a new level of challenge in acquisition management
- SRL provides one possible assessment, analysis and management technique
- Methodology leads to holistic monitoring of all factors impacting system development
- Future work includes extending the concepts for understanding cost impacts (CAIV) in an incremental acquisition



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BACK-UP





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"String" Analysis Incorporated

Complex systems often offer numerous options for conducting operations



- Operational strings were created that identified the components required to utilize a single function of the system
- Assessment of the SRL for each of these options allows for a better understanding of the maturity of each operating configuration
- Understanding the true status of the system on an operational string level allows for the opportunity to field initial capability earlier and then add to it as other strings mature



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Basic SRL Calculators Developed

- Calculators are developed and defined for the system being evaluated
- Allows for real-time updates to TRL and IRL inputs and the resulting SRL evaluation providing decision-makers with instant feedback on "what if" scenarios
- Intuitive interface removes the need for the user to manipulate and deal with the mathematics of the SRL calculation





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Verification and Validation Activities

IRL Criteria

- Created expanded list of IRL criteria for each readiness level
- Goal was to capture the key elements of the integration maturation process
- Presented to 30 integration SMEs from across government, academia, and industry
- Asked to assess importance of each criterion
- Results show solid buy-in among SMEs that identified criteria are key factors in successful integration

SRL Evaluation Process

- Conducted a "blind trial" of SRL methodology and evaluation process
- User's Guide and evaluation criteria were sent to key system SMEs
- From just these resources SMEs were asked to conduct the evaluation and report on the results
- Compiled results and iterated on lessons learned to improve the process



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SRL Calculation

- The SRL is not user defined, but is instead based on the outcomes of the documented TRL and IRL evaluations
- Through mathematically combining these two separate readiness levels, a better picture of overall complex system readiness is obtained by examining all technologies in concert with all of their required integrations

$SRL = IRL \times TRL$

$$\left(\begin{array}{ccc} SRL_1 & SRL_2 & SRL_3 \end{array} \right) = \left(\begin{array}{ccc} IRL_{11} & IRL_{12} & IRL_{13} \\ IRL_{12} & IRL_{22} & IRL_{23} \\ IRL_{13} & IRL_{23} & IRL_{33} \end{array} \right) \times \left(\begin{array}{c} TRL_1 \\ TRL_2 \\ TRL_3 \end{array} \right)$$

Composite SRL = 1/n [SRL₁/n + SRL₂/n + SRL₃/n]

= $1/n^2 \left(SRL_1 + SRL_2 + SRL_3 \right)$

 These values serve as a decision-making tool as they provide a prioritization guide of the system's technologies and integrations and point out deficiencies in the maturation process



Detailed SRL Calculation Example Matrix Setup

- The computation of the SRL is a function of two matrices:
 - The TRL Matrix provides a blueprint of the state of the system with respect to the readiness of its technologies. That is, TRL is defined as a vector with *n* entries for which the *i*th entry defines the TRL of the *i*th technology.
 - The IRL Matrix illustrates how the different technologies are integrated with each other from a system perspective. IRL is defined as an $n \times n$ matrix for which the element IRL*ij* represents the maturity of integration between the *i* th and *j* th technologies.
- Populate these matrices with the appropriate values from the previously documented TRL and IRL component evaluations and then normalize to a (0,1) scale by dividing through by 9
- For an integration of a technology to itself (e.g. IRL_{nn}) a value of "9" should be placed in the matrix
- For an instance of no integration between technologies a value of "0" should be placed in the matrix



Detailed SRL Calculation Example Calculation

- Obtain an SRL matrix by finding the product of the TRL and IRL matrices
- The SRL matrix consists of one element for each of the constituent technologies and, from an integration perspective, quantifies the readiness level of a specific technology with respect to every other technology in the system while also accounting for the development state of each technology through TRL. Mathematically, for a system with *n* technologies, [SRL] is:

$$[SRL] = \begin{bmatrix} SRL_{1} \\ SRL_{2} \\ ... \\ SRL_{n} \end{bmatrix} = \begin{bmatrix} IML_{11}TRL_{1} + IML_{12}TRL_{2} + ... + IML_{1n}TRL_{n} \\ IML_{21}TRL_{1} + IML_{22}TRL_{2} + ... + IML_{2n}TRL_{n} \\ ... \\ IML_{n1}TRL_{1} + IML_{n2}TRL_{2} + ... + IML_{nn}TRL_{n} \end{bmatrix}$$

Decision Support Metrics for Developmental Life Cycles, Users Guide: Version 2.0, Northrop Grumman Corp. and Stevens Institute of Technology, 5 September 2007



Detailed SRL Calculation Example Analysis

- Each of the SRL values obtained from the previous calculation would fall within the interval (0, # of Integrations for that Row).
 For consistency, these values of SRL should be divided by the number of integrations for that row of the matrix to obtain the normalized value between (0,1). (e.g. if there are four non-zero numbers in the IRL matrix for that row, divide by four)
- This number should then be multiplied by 9 to return to the familiar (1,9) scale
- For Example:



Detailed SRL Calculation Example Analysis



- These individual values serve as a decision-making tool as they provide a prioritization guide of the system's technologies and integrations and point out deficiencies in the maturation process
- The composite SRL for the complete system is the average of all normalized SRL values. (Note that weights can be incorporated here if desired.)

$$SRL_{Composite} = \frac{\left(\frac{SRL_1}{n} + \frac{SRL_2}{n} + \dots + \frac{SRL_n}{n}\right)}{n}$$

• A standard deviation can also be calculated to indicate the variation in the system maturity

Decision Support Metrics for Developmental Life Cycles, Users Guide: Version 2.0, Northrop Grumman Corp. and Stevens Institute of Technology, 5 September 2007



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SRL Calculation Example Normalizing the TRLs and IRLs



SRL for System Alpha Calculating the SRL and Composite Matrix SRL = IRL x TRL

Component SRL

$$\left[\begin{array}{ccc} SRL_1 & SRL_2 & SRL_3 \end{array} \right] = \left[\begin{array}{ccc} 1.07 & 1.30 & 1.19 \end{array} \right] \underbrace{(0,n) \text{ scale}}_{Where "n" is equal to the number of integrations for that technology} \\ \left[\begin{array}{ccc} SRL_1 & SRL_2 & SRL_3 \end{array} \right] = \left[\begin{array}{ccc} 0.54 & 0.43 & 0.59 \end{array} \right] \underbrace{(0,1) \text{ scale}}_{(0,1) \text{ scale}} \\ \end{array} \right]$$

Component SRL_x represents Technology "X" and its IRLs considered

Composite SRL

Composite SRL =
$$1/3$$
 (0.54 + 0.43 + 0.59)

= 0.52

The Composite SRL provides an overall assessment of the system readiness

Both individual and composite scores provide key insights into the actual maturity of the system as well as where risk may lie and attention directed for greatest benefit

Sauser, B., J. Ramirez-Marquez, D. Henry and D. DiMarzio. (2007). "A System Maturity Index for the Systems Engineering Life Cycle." International Journal of Industrial and Systems Engineering. 3(6). (forthcoming)



What is an IRL?

A systematic measurement reflecting the status of an integration connecting two particular technologies

S	IRL	Definition	
gmati	9	Integration is Mission Proven through successful mission operations.	
Praç	8	Actual integration completed and Mission Qualified through test and demonstration, in the system environment.	
tic	7	The integration of technologies has been Verified and Validated with sufficient detail to be actionable.	
ntac	6	The integrating technologies can Accept, Translate, and Structure Information for its intended application.	
Syı	5	There is sufficient Control between technologies necessary to establish, manage, and terminate the integration.	
emantic	4	There is sufficient detail in the Quality and Assurance of the integration between technologies.	
	3	There is Compatibility (i.e. common language) between technologies to orderly and efficiently integrate and interact.	
	2	There is some level of specificity to characterize the Interaction (i.e. ability to influence) between technologies through their interface.	T
S	1	An Interface between technologies has been identified with sufficient detail to allow characterization of the relationship.	1

Gove, R. (2007) *Development of an Integration Ontology for Systems Operational Effectiveness*. M.S. Thesis. Stevens Institute of Technology. Hoboken, NJ



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SRL Algorithm Sensitivity Evaluated

- Observed that the SRL algorithm did not take into account the varying levels of "importance" between technologies
- Examined the sensitivity of the algorithms to changes in the TRL and IRL ratings of systems with varying levels of importance
- Modified the methodology to automatically include weightings for those technologies that are most important by looking at operational "strings" or mission threads



SRL Response Analysis

IML = 1

* Indicates unreasonable combination

IML = 4

Components to be integrated are selected and interfaces identified

TRL	Composite SRL			
1	0.06			
3	0.17			
5	0.28			
7	0.39			
9	0.51*			

IML = 7
End-to-end system integration accomplished;
prototype demonstrated

TRL	Composite SRL			
1	0.10*			
3	0.29*			
5	0.49			
7	0.68			
9	0.88			

Integration and data requirements are defined; low fidelity experimentation

TRL	Composite SRL
1	0.08
3	0.23
5	0.38
7	0.54
9	0.69*

IML = 9 System installed and deployed with mission							
prove	n operation						
TRL	Composite SRL						

1	0.11*
3	0.33*
5	0.56*
7	0.78
9	1.00

Algorithms Evaluated for Sensitivity

TRL Variation Analysis

All TRLs in the system are set to 9 with the exception of the one corresponding to the system in each row, which was set to 1.

	Stan Metho	dard dology	Non-connected, Self IRLs = 0		
	Sys	String	Sys	String	
MPCE 6 Connections Used by all Threads	8.6	7.9	7.9	7.2	
Radar 1 Connections Used by all Threads	8.6	7.9	8.8	8.5	
MH-60S 7 Connections Used by 5 Threads	8.6	8.4	7.7	8.1	
COBRA 1 Connections Used by 1 Thread	8.6	8.9	8.8	8.9	

NOTE: There are 9 total threads

IRL Variation Analysis

All IRLs in the system are set to 9 with the exception of the one corresponding to the link in each row, which was set to 1

	Stan Method	dard dology	Non-connected, Self IRLs = 0		
	Sys	String	Sys	String	
MPCE - CMS Used by all Threads	9.0	8.7	8.6	8.0	
Radar - CMS Used by all Threads	9.0	8.7	8.6	8.0	
MH-60S - MPCE Used by 5 Threads	9.0	8.8	8.6	8.4	
COBRA - VTUAV Used by 1 Thread	9.0	9.0	8.6	8.9	

NOTE: There are 9 total threads

Comparative Sensitivity - A look at how the algorithms penalized the SRL rating relative to one another (1 is most severe)

		Standard Methodology		Non-connected, Self IRLs = 0				Stan Metho	lard Non-connect lology Self IRLs =		nnected, Ls = 0
		Sys	String	Sys	String			Sys	String	Sys	String
	1.) MPCE	1,4	1,2	2	1		1.) MPCE - CMS	1,4	1,2	1,4	1,2
_	2.) MH-60S	1,4	3	1	2		2.) MH-60S - MPCE	1,4	3	1,4	3
1	3.) Radar	1,4	1,2	3,4	3	tion	3.) Radar - CMS	1,4	1,2	1,4	1,2
(4.) COBRA	1,4	4	3,4	4	OSIUM	4.) COBRA - VTUAV	1,4	4	1,4	4